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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Paul Moroney Eric Sprunk Alexander Medvinsky

Application No.: 10/007,121

Filed: October 26, 2001

For: ENFORCEMENT OF CONTENT RIGHTS AND CONDITIONS FOR **MULTIMEDIA CONTENT**

Examiner:

Art Unit:

2131

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and

\$1.98

Assistant Commissioner for Patents

Washington, D.C. 20231

4 Sir:

> The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed are copies of the Search/Examination reports corresponding to this PCT application and the copending PCT applications. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Paul Moroney
Eric Sprunk

Alexander Medvinsky

Page 2

Application No.: 10/007,121

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

William F. Ublack

William F. Vobach Reg. No. 39,411

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

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2131

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	Complete if Known
Application Number	10/007,121
Filing Date	October 26, 2001
First Named Inventor	Peterka, Petr
	Application Number Filing Date

(use as many sheets as necessary)

018926-000 Sheet Attorney Docket Number

Examiner Name

•			U.S. PATENT D	OCUMENTS	SEP 2 0 2002	
		Document Number				
Examiner	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Technology, Center 2100	
	AA	US-5,003,384	03-26-1991	Darden et al.		
	AB	US-				
	AC	US-				
	AD	US-				
	AE	US-			·	
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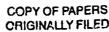
FOREIGN PATENT DOCUMENTS								
		For	eign Patent Documer	nt		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Country Code ³	Number ⁴ Kind	Code ^s (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁵
	AU	wo	98/43426	A1	10-01-1998	Canal Societe Anonyme		
	AV	wo	00/25517	A1	05-04-2000	Koninkluke Philips Electronics N.V.		
	AW	wo	99/07150	A1	02-11-1999	Scientific Atlanta, Inc.		_
	AW	EP	0676897	A2	10-11-1995	Mitsubishi Corporation	_	
	AX	EP	0708561	A2	04-24-1996	General Instrument Corporation		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	Complete if Known
Application Number	10/007,121
Filing Date	October 26, 2001
First Named Inventor	Peterka, Petr
Art Unit	2131
Examiner Name	SEP 2 0 2002
Attorney Docket Number	018926-006510US.

Technology Center 2100

				FOREIGN PA	TENT DOCUME	ENTS		
Examiner	Cite	For	eign Patent Docu	ıment		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ^t (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
	AY	EP	0719045	A2	06-26-1996	Mitsubishi Corporation		
	AZ							
	BA							<u> </u>
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/007,121 RECEIVED

Filing Date October 26, 2001

First Named Inventor Peterka, Petr

Art Unit 2131 Technology Center 2100

Attorney Docket Number 018926-006510US

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the T² Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Examiner No.1 number(s), publisher, city and/or country where published. Initials * GUILLOU L.C. et al., Encipherment and Conditional Access, SMPTE Journal, SMPTE Inc., Scarsdale, N.Y., Vol. BC 103, no. 6, June 1, 1994 TSUBAKIYAMA H. et al., Security for Information Data Broadcating System with Conditional Access Control, Global Telecommunications Conference, 1993, including a Communications Theory Mini-Conference. Technical Program BD Conference Record, IEEE in Houston, Globecom '93., IEEE Houston, TX, pages 164-170, November 29, 1993 BE RF BG вн BI BJ BK ВМ

Examiner	Date	
Signature	Considered	

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Applicant claims small entity status. See 37 CFR 1.27

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for FY 2002	
Patent fees are subject to annual revision.	Filing Date

Complete if Known 10/007.121 October 26, 2001 SEP 2 0 2002 First Named Inventor Peterka, Petr **Examiner Name** echnology Center 2100 Group Art Unit 2131

TOTAL AMOUNT OF PAYMENT Attorney Docket No 018926-006510US METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Credit Card MoneyOrder Other Check Large Entity Small Entity Deposit Account: Fee Deposit Fee Description Code (\$) Code (\$) Paid Account 20-1430 105 205 130 65 Surcharge - late filing fee or oath Number 127 227 50 25 Surcharge - late provisional filing fee or cover sheet. Deposit Townsend and Townsend and Account 139 130 139 130 Non-English specification Crew LLP Name 147 147 2.520 2 520 For filing a request for reexamination The Commissioner Is authorized to: (check all that apply) 112 920* 112 920* Requesting publication of SIR prior to Examiner action Charge any additional fee(s) during the pendency of this application 113 1.8401 113 1.840* Requesting publication of SIR after Examiner action Charge fee(s) indicated below, except for the filing fee 115 110 215 55 to the above-identified deposit account. Extension for reply within first month 116 400 216 200 Extension for reply within second month **FEE CALCULATION** 117 920 217 460 Extension for reply within third month BASIC FILING FEE 118 1.440 218 720 Large Entity Small Entity Extension for reply within fourth month 128 228 1.960 980 Extension for reply within fifth month Fee Fee Fee Fee Description Code 119 320 219 160 (\$) Code (2) Fee Paid Notice of Appeal 101 740 201 120 320 220 370 Utility filing fee 160 Filing a brief in support of an appeal 280 106 330 206 165 Design filing fee 121 221 140 Request for oral hearing 107 Petition to institute a public use 510 207 255 Plant filing fee 138 1.510 138 1,510 108 740 208 proceeding 370 Reissue filing fee 140 110 240 55 Petition to revive - unavoidable 114 160 214 80 Provisional filing fee 141 1,280 241 640 Petition to revive - unintentional SUBTOTAL (1) 142 (\$) 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 144 620 244 310 Plant'issue fee Fee from Extra Claims 122 130 122 130 Petitions to the Commissioner Fee Paid Total Claims -20* X Petitions related to provisional 123 50 123 50 Independent applications х Claims Submission of Information Disclosure 126 180 126 180 Multiple Dependent Recording each patent assignment per 581 40 581 40 Large Entity Small Entity property (times number of properties) Fee Fee Code 146 740 246 370 Filing a submission after final rejection Fee Description Code (2) (\$) (37 ČFR § 1.129(a)). 103 18 203 Claims in excess of 20 149 740 249 370 For each additional invention to be 102 84 examined (37 CFR § 1.129(b)) 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid 179 740 279 370 Request for Continued Examination (RCE) ** Reissue independent claims over 109 84 209 42 169 900 900 Request for expedited examination of a original patent design application ** Reissue claims in excess of 20 110 18 210 and over original patent Other fee (specify) SUBTOTAL (2) (\$) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)0 "or number previously paid, if greater; For Reissues, see above

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Name (Print/Type)	William F. Vobach	Registration No. (Attorney/Agent)	39,411	Telephone	303-571-4000
Signature	William	F. Votare		Date	September 11, 2002

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			Application Number	10/007,121
TRANSMITTAL FORM		Filing Date	October 26, 2001	
		First Named Inventor	Peterka, Petr CRICA OF	
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			Examiner Name	
otal Number of Pages	in This Submission	7	Attorney Docket Number	018926-006510US
		ENCLO	OSURES (check all that apply)	
Fee Transmittal Fo	orm		ment Papers Application)	After Allowance Communication to Group
Fee Attached		Drawin	g(s)	Appeal Communication to Board of Appeals and Interferences
Amendment / Resp	ponse	Licensi	ing-related Papers	Appeal Communication to Group (Appeal Notice; Brief, Reply Brief)
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and William F. Vobach			. Reg. N	0. 39,411
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